

April 7, 1991

Barry M. Fallick, Esq.
Rochman Platzer Fallick & Rosmarin
666 Third Avenue
New York, NY 10017

Re: **KEVIN TRUDEAU**

Dear Mr. Fallick:

At your request I have performed a psychiatric examination on the above-named individual to evaluate the extent to which any emotional problems may have contributed to his behavior.

He is a 28-year-old man who has pleaded guilty to using false names and Social Security numbers in obtaining American Express credit cards.

He was examined on December 19, 1990 and April 2, 1991 for a total of four hours.

SIGNIFICANT PAST HISTORY: Born on February 6, 1963, Mr. Trudeau was adopted through Catholic Charities at the age of three weeks. Although he has no conscious recollection, there is good reason to believe that he was told of this as a little child but allowed to subconsciously repress such information until he was about twelve years old. At that time his cousin brought up the subject, erroneously believing that he, like the rest of his family, was well aware of it.

His repressed awareness may well have contributed to his rebelliousness as a youngster. The conscious knowledge just seemed to augment and magnify such an attitude. His adoptive father and mother were a welder and housewife, respectively, who perceived his bright intelligence and urged him to succeed scholastically. Mr. Trudeau, on the other hand, was more interested in business achievements. Without realizing why, it seemed tremendously important to the young man to earn his own income. Accordingly, he began to do so as a teenager with newspaper routes and the shoveling of snow -- at the expense of studying and doing his homework.

Indeed, while still in high school, he began reading numerous books on business success, started a mail order business, and became a professional magician. Over the ensuing years, he was a very successful automobile salesman and manager of a dealership, became enthralled with a memory course, and by the age of twenty or 21 was more successful than he had ever imagined.

The problem was that, regardless of his success, it never occurred to him to save or invest any of his income. Rather, he felt compelled to spend everything he earned and live like a king. He was driven to keep proving himself, as a way of counteracting the criticisms he had sustained from his adoptive parents, teachers and friends, and the feeling that he had been abandoned by his real mother.

Three years ago he inadvertently withdrew money from a new account in Boston, based on checks which he had deposited but which had not cleared because, unbeknownst to him, his secretary in Dallas had embezzled his money. Even though he repaid the \$18,000.00, he ultimately pleaded guilty to grand larceny and in October 1990 served ten days of a 21-day sentence.

PRESENT OFFENSE: Mr. Trudeau readily admits having committed the present offense. He explains that he was desperate for assets to found and operate the American Memory Institute, which has proven quite successful. While he readily acknowledges his poor judgment, he reports steps he has taken to live more modestly and save enough money to reimburse American Express in the foreseeable future.

MENTAL STATUS: On examination, there is no indication of organic mental disorder or psychosis. His display of emotions is moderately intense, generally on the ebullient side, but also appropriately anxious. Mr. Trudeau is slowly but surely beginning to appreciate that, apart from his intellectual brilliance, he has been driven by a subconscious urge to succeed, to the point where he may have shown poor judgment.

DIAGNOSIS: Adjustment disorder with mixed disturbance of emotions and conduct
Mixed personality disorder
No physical disorder

RECOMMENDATION: It is respectfully recommended that, from a psychiatric point of view, the most appropriate sentence would be one that would impose as short a prison sentence as possible.

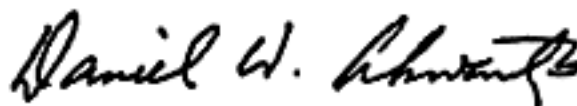
DISCUSSION: Mr. Trudeau's drive to succeed has been so intense that it has on numerous occasions impaired his judgment. Recently, however, he appears to have taken certain steps to settle some of the inner psychological turmoil which has so driven him. Within the past year he has ascertained the identity of his real mother and has visited her where she lives, in Massachusetts, a half a dozen times. Whether he realizes it or not, he has begun to work out any resentment he may have felt towards her

for having abandoned him as an infant. At the same time, he has maintained a good emotional relationship towards his adoptive parents.

His present business venture, the American Memory Institute, appears to be one that is logical, reasonable and potentially successful. Furthermore, Mr. Trudeau has the type of outgoing personality that should thrive in just such an enterprise. While he may need someone to help him appropriately dispense his income to pay off the debt to American Express, he also needs as much time as possible out of jail to continue to perform for the business around the country and continue to make new tapes.

Over the past 28 years I have examined thousands of criminal defendants, usually for the courts. While there is no way in which I can guarantee Mr. Trudeau's future behavior, I can say that there is nothing about him that strikes me as antisocial (inherently criminal). His offenses have stemmed from an inappropriate urgency to succeed, one that seems to be mellowing and can be used more constructively. Because his business demands that he travel as much as half the time, it would be difficult for him to establish a psychotherapy relationship. Nevertheless, both he and society should benefit from his being encouraged and supported by a positive "father-figure," in the form of probation.

Sincerely yours,



Daniel W. Schwartz, M.D.
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